

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
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Takayuki ONODERA et al.	)	Group Art Unit: Unassigned
	)	
Application No.: Unassigned	)	Examiner: Unassigned
	)	
Filed: February 21, 2002	)	
	)	
For: OPTICAL SEMICONDUCTOR	)	
MODULE AND LIGHT AMPLIFIER	)	

jc971 U.S. PTO  
10/076405  
02/21/02

5/IDS  
P. Walker  
7-2202

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

JP 51-87440;  
JP 53-116166;  
JP 9-153659;  
JP 63-172107;  
JP 60-61707;  
JP 11-2746;  
JP 7-318854; and  
JP 5-333245.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

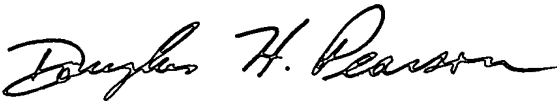
The present application is based upon and claims priority from U.S. Patent Application No. 09/958,657, which is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/JP01/01527. A copy of the International Search Report for corresponding international

application PCT/JP01/01527 is also enclosed herewith which cites six of the above-noted documents. A relevancy code for JP 51-87440 is indicated in the International Search Report.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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Date: February 21, 2002

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	51-87440		Japan	1976		X
	53-116166		Japan	10/11/1978	Abstract Only	
	9-153659		Japan	06/10/1997	Abstract Only	
	63-172107		Japan	07/15/1988	Abstract Only	
	60-61707		Japan	04/09/1985	Abstract Only	
	11-2746		Japan	01/06/1999	Abstract Only	
	7-318854		Japan	12/08/1995	Abstract Only	
	5-333245		Japan	12/17/1993	Abstract Only	

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

(05/01)